

# VECTOR VALUED KOTHE FUNCTION SPACES III

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This paper is a continuation of [8] and [9].

## 1. Compactness

We begin with a general situation. The results obtained will yield information about compact sets in v.f.s.'s.

Let  $X$  and a family  $\{Y_\alpha : \alpha \in A\}$  be Hausdorff topological spaces and let  $\sigma_\alpha : X \rightarrow Y_\alpha$  be continuous maps. We suppose that  $\sigma_\alpha(x_1) = \sigma_\alpha(x_2)$  for each  $\alpha$  implies  $x_1 = x_2$ . Define  $Y = \prod_\alpha Y_\alpha$  and  $\sigma : X \rightarrow Y$  by  $\sigma(x) = \{\sigma_\alpha(x)\}$ . Then  $\sigma$  is continuous and one to one. A set  $S \subseteq X$  is said to be *projectively compact* if  $\sigma_\alpha(S)$  is compact for each  $\alpha$ . A sequence  $(x_n) \subseteq X$  is *projectively convergent* if  $\sigma_\alpha(x_n)$  is convergent for each  $\alpha$ . Other terms are defined similarly.

The proofs of the following propositions present no difficulties; the proof of Proposition 1.1 uses Tychonoff's theorem and that of Proposition 1.3(2) uses the finite intersection property characterization of compactness (see [6]).

**PROPOSITION 1.1** *A set  $S \subseteq X$  is compact if and only if*

- (1)  *$S$  is projectively compact, and*
- (2) *every projectively convergent net in  $S$  is convergent to a point in  $S$ .*

**PROPOSITION 1.2.** *Suppose  $A$  is countable. Then a set  $S \subseteq X$  is sequentially compact (respectively relatively sequentially compact) if and only if*

- (1)  *$S$  is projectively sequentially compact (respectively relatively sequentially compact), and*
- (2) *every projectively convergent sequence in  $S$  is convergent to a point in  $S$  (respectively convergent).*

**PROPOSITION 1.3.** *Suppose  $A$  is countable. Then:*

- (1) *If in  $Y_\alpha$  the compact (respectively relatively compact) sets are sequentially compact (respectively relatively sequentially compact), then the same is true in  $X$ .*
- (2) *If in  $Y_\alpha$  the sequentially compact sets are compact, then the same is true in  $X$ .*
- (3) *If in  $Y_\alpha$  the countably compact sets are compact, then the same is true in  $X$ .*
- (4) *If in  $Y_\alpha$  the countably compact (respectively relatively countably compact) sets are sequentially compact (respectively relatively sequentially compact), then the same is true in  $X$ .*

We now make some applications of the above three propositions.

**THEOREM 1.4.** *If  $E$  is metrizable and  $S(E)$  is a v.f.s. with a topology finer than the weak topology induced from  $\Omega(E)$ , then the compact, sequentially compact,*

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and countably compact sets in  $S(E)$  are the same. Furthermore, a set  $A \subseteq S(E)$  is compact iff it is weakly compact in  $\Omega(E)$  and every sequence in  $A$  which converges weakly in  $\Omega(E)$  converges in  $S(E)$ .

*Proof.* This is a simple application of Propositions 1.1–1.3 to the single continuous injection map  $i : S(E) \rightarrow \Omega(E)$ , using the fact that in the weak topology of a Fréchet space the compact, countably compact, and sequentially compact sets are the same [7, p. 318]. ■

We omit the similar proof of the following result.

**THEOREM 1.5.** *If  $E$  is metrizable and  $S(E)$  is a v.f.s. with a topology finer than that induced from  $\Omega(E)$ , then a set  $A \subseteq S(E)$  is compact iff it is compact in  $\Omega(E)$  and every sequence in  $A$  which converges in  $\Omega(E)$  also converges in  $S(E)$ .*

Let  $S(E)$  be a v.f.s. such that for every compact set  $K \subseteq Z$ , the map  $f \rightarrow \int_K f d\pi$  of  $S(E)$  into  $\hat{E}$  is continuous. (Here  $\hat{E}$  does not have to have its original topology.) If  $Z$  is second countable, so that a countable number of integrals suffice to determine  $f$  [8, Corollary 6.3] we could apply Propositions 1.1–1.3 to obtain information about the compact sets in  $S(E)$ . We omit the details.

In order to apply Theorems 1.4 and 1.5, it is necessary to identify the compact and weakly compact sets in  $\Omega(E)$ . We do this, for special cases, in the results to follow.

**PROPOSITION 1.6.** *Let  $Z$  be a locally compact Abelian group with Haar measure  $\pi$ . Suppose  $E$  is a Fréchet space. Then a set  $C \subseteq \Omega(E)$  is relatively compact if and only if*

- (1) *for every compact set  $K \subseteq Z$  and a  $a \in L^\infty$ , the set*

$$\left\{ \int_K af d\pi : f \in C \right\}$$

*is relatively compact in  $E$ , and*

- (2) *given a compact set  $K \subseteq Z$ , a  $p \in P$ , and an  $\varepsilon > 0$ , there is a symmetric neighborhood  $W$  of  $0$  (in  $Z$ ) such that if  $z_0 \in W$  and  $f \in C$ , then*

$$\int_K p(f(z) - f(z - z_0)) d\pi < \varepsilon.$$

*Proof.* Since  $E$  is a Fréchet space, all elements of  $\Omega(E)$  are functions from  $Z$  into  $E$  [8, Section 3].

( $\Rightarrow$ ) (1) follows from [8, Theorem 6.1(1)]. We prove (2) in stages.

(a) Suppose  $C = \{f\}$ , a single function and

$$f = \sum_{j=1}^n c(R_j)x_j \in \Gamma(E).$$

Using [4, p. 269], choose a symmetric compact neighborhood  $W_j$  such that for  $z_0 \in W_j$ ,

$$\int_K |c(R_j)(z) - c(R_j)(z - z_0)| d\pi \leq \varepsilon(np(x_j))^{-1}.$$

Then for  $z_0 \in W_1 \cap W_2 \cap \cdots \cap W_n$ ,

$$\begin{aligned} \int_K p(f(z) - f(z - z_0)) d\pi \\ \leq \sum_{j=1}^n p(x_j) \int_K |c(R_j)(z) - c(R_j)(z - z_0)| d\pi \leq \varepsilon. \end{aligned}$$

(b) Now suppose  $C = \{f\}$  where  $f$  is any function in  $\Omega(E)$ . Let  $W_1$  be any symmetric compact neighborhood of 0. Then  $K + W_1$ , the image of  $K \times W_1$  under the map  $(z, w) \rightarrow z + w$ , is compact. Since  $\Gamma(E)$  is dense in  $\Omega(E)$  (it separates points of  $\Omega(E)' = \Phi(E')$ ) there is an  $f' \in \Gamma(E)$  such that

$$\int_{K+W_1} p(f - f') d\pi \leq \varepsilon.$$

By (a), we can find a symmetric compact neighborhood  $W_2$  of 0 such that if  $z_0 \in W_2$ , then

$$\int_K p(f'(z) - f'(z - z_0)) d\pi \leq \varepsilon.$$

Then for  $z_0 \in W_1 \cap W_2$ ,

$$\begin{aligned} \int_K p(f(z) - f(z - z_0)) &\leq \int_K p(f(z) - f'(z)) + \int_K p(f'(z) - f'(z - z_0)) d\pi \\ &\quad + \int_K p(f'(z - z_0) - f(z - z_0)) d\pi \\ &\leq 2\varepsilon + \int_{K+W_1} p(f'(z) - f(z)) d\pi \\ &\leq 3\varepsilon. \end{aligned}$$

(c) Suppose  $C = \{f_j\}$ , a finite set. The existence of a  $W$  in this case follows easily from (b).

(d) Finally, suppose  $C$  is relatively compact. Then  $C$  is precompact. Let  $W_1$  be any symmetric compact neighborhood of 0. Since  $K + W_1$  is compact, the set

$$V = \{f \in \Omega(E) : \int_{K+W_1} p(f) d\pi \leq \varepsilon\}$$

is a neighborhood in  $\Omega(E)$ . Let  $\{f_j\}$  be a finite set in  $\Omega(E)$  such that  $C \subseteq \bigcup (f_j + V)$ . Let  $W_2$  be the neighborhood for  $\{f_j\}$  guaranteed by (c). Let  $f \in C$  be arbitrary and choose  $j'$  so that  $f - f_{j'} \in V$ . Then for  $z_0 \in W_1 \cap W_2$ ,

$$\begin{aligned} \int_K p(f(z) - f(z - z_0)) d\pi &\leq \int_K p(f(z) - f_{j'}(z)) d\pi \\ &\quad + \int_K p(f_{j'}(z) - f_{j'}(z - z_0)) d\pi \\ &\quad + \int_K p(f_{j'}(z - z_0) - f(z - z_0)) d\pi \\ &\leq 3\varepsilon. \end{aligned}$$

( $\Leftarrow$ ) Let  $K, p$ , and  $\varepsilon > 0$  be given. We shall show that  $C$  can be covered by a finite number of translates of the set

$$\{f \in \Omega(E) : \int_K p \circ f \, d\pi \leq 2\varepsilon\}.$$

Thus  $C$  will be precompact and so relatively compact. Pick a symmetric compact neighborhood  $W$  by (2). Let  $r(z)$  be a continuous non-negative, real-valued function such that  $\text{Supp } r \subseteq W$  and  $\int r \, d\pi = 1$ . Set  $M = \text{Sup } r(z)$ . For  $f \in C$  set

$$f^*(z) = \int f(z - w)r(w)d\pi(w).$$

(This is sort of a convolution.) Then  $f^*(z) \in E$ . Also, for  $z_0$  fixed and  $p_0 \in P$ ,

$$\begin{aligned} p_0(f^*(z_0) - f^*(z_0 + z)) &= p_0 \left[ \int (f(z_0 - w) - f(z_0 + z - w))r(w)d\pi(w) \right] \\ &\leq \int p_0[f(z_0 - w) - f(z_0 + z - w)]r(w)d\pi(w) \\ &\leq M \int_W p_0(f(z_0 - w) - f(z_0 + z - w))d\pi(w) \\ &= M \int_{W+z_0} p_0(f(-w) - f(z - w))d\pi(w) \\ &= M \int_{-W-z_0} p_0(f(w) - f(w - z))d\pi(w) \end{aligned}$$

which by (2) can be made arbitrarily small, uniformly for  $f \in C$ , for  $z$  in a sufficiently small neighborhood of 0. Thus  $f^*$  is continuous and in fact the set  $C^* = \{f^* : f \in C\}$  is equicontinuous.

If we let  $\mathcal{C}(E)$  be the set of all continuous functions from  $Z$  into  $E$  equipped with the topology of uniform convergence on compact sets, we have shown that  $C^* \subseteq \mathcal{C}(E)$  and is equicontinuous. For  $z_0$  fixed,

$$\begin{aligned} f^*(z_0) &= \int f(z_0 - w)r(w)d\pi = \int_W f(z_0 - w)r(w)d\pi \\ &= \int_{z_0+W} f(-w)r(w - z_0)d\pi = \int_{-z_0-W} f(w)r(z_0 - w)d\pi. \end{aligned}$$

Thus by (1),  $\{f^*(z_0) : f^* \in C^*\}$  is relatively compact in  $E$ . By Ascoli's Theorem [6, p. 233],  $C^*$  is relatively compact in  $\mathcal{C}(E)$  and so is relatively compact in the weaker topology of  $\Omega(E)$ . Thus  $C^*$  can be covered by a finite number of translates of

$$(*) \quad \{f \in \Omega(E) : \int_K p \circ f \, d\pi \leq \varepsilon\}$$

But

$$\begin{aligned}
 \int_{\mathbf{K}} p(f - f^*) d\pi &= \int_{\mathbf{K}} p(f(z) - \left[ \int_{\mathbf{Z}} f(z - w) r(w) d\pi(w) \right]) d\pi(w) d\pi(z) \\
 &= \int_{\mathbf{K}} p \left( \int_{\mathbf{Z}} [f(z) r(w) - f(z - w) r(w)] d\pi(w) \right) d\pi(z) \\
 &\leq \int_{\mathbf{K}} \int_{\mathbf{Z}} r(w) p(f(z) - f(z - w)) d\pi(w) d\pi(z) \\
 &= \int_{\mathbf{Z}} r(w) \int_{\mathbf{K}} p(f(z) - f(z - w)) d\pi(z) d\pi(w) \\
 &= \int_{\mathbf{W}} r(w) \int_{\mathbf{K}} p(f(z) - f(z - w)) d\pi(z) d\pi(w) \\
 &\leq \varepsilon
 \end{aligned}$$

by the choice of  $W$  and  $r$ . The last inequality together with  $(*)$  show that  $C$  can be covered as claimed. (A proof of the  $p$ -measurability of  $f(z - w)$  which allows the use of Fubini's Theorem above is similar to the proof of the analogous result for real valued functions found in [3, p. 634].) ■

*Remark.* The only reason for restricting  $E$  to be a Fréchet space in the proposition is that expressions such as  $f(z - z_0)$  and  $f(z - w)$  used in the proof are then defined since the elements of  $\Omega(E)$  are functions. If the definition of these expressions is extended to all of  $\Omega(E)$  and certain relationships between these extensions are shown (c.f. [8, Proposition 5.1]), then the proposition can be proved for a general  $E$ .

**PROPOSITION 1.7.** *If  $E$  is a separable reflexive Banach space then the following statements about a set  $C \subseteq \Omega(E)$  are equivalent:*

- (1)  $C$  is weakly relatively compact.
- (2) For every  $g \in \bar{\Phi}(E')$ , the set  $\langle C, g \rangle$  is weakly relatively compact in  $\Omega$ .
- (3) For every  $g \in \bar{\Phi}(E')$ , compact set  $K$ , and  $\varepsilon > 0$ ,  $\langle C, g \rangle$  is bounded in  $\Omega$  and there is a  $\delta > 0$  such that if  $R \subseteq K$  is measurable and  $\pi(R) < \delta$ , and  $f \in C$ , then  $\int_{\mathbf{R}} | \langle f, g \rangle | d\pi < \varepsilon$ .

*Proof.* (2)  $\Leftrightarrow$  (3) is just the characterization of the weakly relatively compact sets in  $\Omega$  given in [2, p. 98].

(1)  $\Rightarrow$  (2). The map  $T : \Omega(E) \rightarrow \Omega$  given by  $Tf = \langle f, g \rangle$  has an adjoint  $T^* : \Phi \rightarrow \bar{\Phi}(E')$  given by  $T^*b = bg$  and so is weakly continuous. The result follows.

(3)  $\Rightarrow$  (1) is more difficult. Since  $\langle C, g \rangle$  is bounded for every  $g \in \bar{\Phi}(E')$  we have that  $\int \langle C, g \rangle d\pi$  is bounded for every  $g \in \bar{\Phi}(E')$  and so  $C$  is bounded in  $\Omega(E)$ . Let  $G$  be the strong dual of  $\bar{\Phi}(E')$  and let  $\bar{C}$  be the closure of  $C$  in  $G$  under the weak topology induced from  $\bar{\Phi}(E')$ . Now  $\bar{C}$  is compact in this weak topology since it is contained in the bipolar of  $C$  which is the polar of a  $\beta(\bar{\Phi}(E'), \Omega(E))$  neighborhood. Let  $\varphi \in \bar{C}$ . We now apply [8, Theorem

7.1] (with  $E$  and  $E'$  switched; see [9, Proposition 1.1]) to show that  $\varphi \in \Omega(E)$ , thus completing the proof. If  $\pi$  does not have compact support then by [9, Proposition 2.5],  $\bar{\Phi}(E')$  is, under the strong topology, the strict inductive limit of spaces  $\bar{\Phi}_{\pi_n}(E')$ . Thus for any compact set  $K$ , the set

$$D = \{g \in \bar{\Phi}(E') : \|g\| \leq c(K)\},$$

which is contained in and bounded in some  $\bar{\Phi}_{\pi_n}(E')$  is strongly bounded in  $\bar{\Phi}(E')$  [10, p. 129]. If  $\pi$  has compact support, [9, Proposition 2.5] again shows that  $D$  is bounded. Thus  $\phi$ , which is strongly continuous, is bounded on  $D$ . This gives condition (2) of [8, Theorem 7.1]. For condition (1) let  $(f_\alpha) \subseteq C$  be a net such that  $f_\alpha \rightarrow \phi$  weakly. Fix  $g \in \bar{\Phi}(E')$  with  $\text{Supp } g \subseteq K$ , a compact set. Suppose  $R_j \uparrow R$  and set  $S_j = R - R_j$ . Then

$$\phi(g|_K) - \phi(g|_{R_j}) = \phi(g|_{S_j}) = \phi(g|_{K \cap S_j}) = \lim_\alpha \int_{K \cap S_j} \langle f_\alpha, g \rangle d\pi \rightarrow 0$$

as  $j \rightarrow \infty$  by (3). ■

### 2. The spaces $\Lambda(E)$ and $\Sigma^0(E')$

If  $\Lambda$  is a solid scalar v.f.s. (e.g.  $\Lambda = L^p$ ) we set

$$\Lambda(E) = \{f \in \Omega(E) : p \circ f \in \Lambda \text{ for all } p \in P\}$$

and

$$\Lambda^0(E') = \{g \in \bar{\Omega}(E') : g = bg_0 \text{ with } b \in \Lambda \text{ and } p^0(g_0(z)) \leq 1 \text{ a.e. for some } p \in P\}$$

Since  $p^0 \circ g$  is not necessarily well defined for  $g \in \bar{\Omega}(E')$  [8, example following Theorem 3.2], the definition of  $\Lambda^0(E')$  is not complete. We shall make the agreement, here and in similar cases later, that the representation for  $g$  need only hold for one function in the class. If  $E$  is separable, then  $p^0 \circ g$  is well defined [8, Theorem 3.1] and so in this case we have

$$\Lambda^0(E') = \{g \in \bar{\Omega}(E') : p^0 \circ g \in \Lambda \text{ for some } p \in P\}.$$

Using the remarks following [9, Proposition 1.1] it is easy to show that if  $E$  is a Banach space and  $E'$  is separable, then  $\Lambda^0(E') = \Lambda(E')$  and if  $E$  is a reflexive Banach space then  $\Lambda^0(E')$  can be identified with  $\Lambda(E')$ .

Besides the  $L^p$  spaces, examples of scalar v.f.s.'s include the Orlicz spaces [12] and general Banach function spaces [13]. Spaces of the form  $\Lambda(E)$  have been studied by Gregory [5] when  $Z$  is the set of natural numbers and  $\pi$  is the counting measure. C\ac [1] has studied the spaces  $\Lambda(E)$  when  $E$  is a Banach space.

If  $(\Lambda, \Sigma)$  is a dual pair of solid v.f.s.'s and  $f \in \Lambda(E)$  and  $g \in \Sigma^0(E')$ , set  $g = bg_0$  where  $b \in \Sigma$  and  $p^0(g_0(z)) \leq 1$ . Then

$$|\int \langle f, g \rangle d\pi| \leq \int p \circ f |b| d\pi < \infty.$$

Thus  $(\Lambda(E), \Sigma^0(E'))$  is a dual pair of v.f.s.'s. We shall find that the dual

pair  $(\Lambda(E), \Sigma^0(E'))$  inherits many of the properties of the dual pair  $(\Lambda, \Sigma)$ , especially when  $E$  is normed.

If  $\Lambda$  has a solid topology, we topologize  $\Lambda(E)$  with the set of seminorms  $\{q(p \circ f)\}$  where  $p \in P$  and  $q$  is the gauge of a solid absolutely convex neighborhood in  $\Lambda$ . It is easy to show that the seminorms  $q(p \circ f)$  are seminorms and generate a solid topology on  $\Lambda(E)$ .

PROPOSITION 2.1. (1)  $\Lambda^*(E) = \Lambda^0(E')^*$ .

(2) If  $E$  is a separable normed space,  $\Lambda(E)^* = \Lambda^{*0}(E')$ .

Proof. (1) If  $f \in \Omega(E)$ ,  $p \in P$ , and  $b \in \Lambda$ , we have by [8, Lemma 5.3],

$$(*) \quad \int p \circ f |b| d\pi = \text{Sup} \left\{ \int |\langle f, g \rangle| d\pi : g \in \Lambda^0(E') \text{ with } g = bg_0 \text{ where } p^0 \circ g_0 \leq 1 \right\}$$

and both sides of the equality are finite if every entry in the supremum is finite. Thus

$$\begin{aligned} f \in \Lambda^0(E')^* &\Leftrightarrow \int |\langle f, g \rangle| d\pi < \infty \text{ for every } g \in \Lambda^0(E')^* \\ &\Leftrightarrow \int p \circ f |b| d\pi < \infty \text{ for every } p \in P \text{ and } b \in \Lambda \\ &\Leftrightarrow f \in \Lambda^*(E). \end{aligned}$$

(2) This is proved as is (1) except that [8, Lemma 5.2] is used. ■

Remark. Equality (2) is not true for general  $\Lambda$  and  $E$ . For let  $\Lambda = \Phi$ . Then  $\Phi(E)^* = \bar{\Omega}(E')$ . But in general  $\Omega^0(E') \neq \bar{\Omega}(E')$  unless  $E$  is normed or  $Z$  is compact. See also Proposition 2.5.

PROPOSITION 2.2. Let  $(\Lambda, \Sigma)$  be a dual pair of solid v.f.s.'s and let  $B \subseteq \Sigma$  be a solid set whose polar has gauge  $q$ . Then for any  $f \in \Lambda(E)$ ,

$$q(p \circ f) = \text{Sup} \left\{ \left| \int \langle f, g \rangle d\pi \right| : g \in \Sigma^0(E') \text{ where } g = bg_0 \text{ with } b \in B \text{ and } p^0(g_0(z)) \leq 1 \right\}.$$

This implies that if a solid topology on  $\Lambda$  is a polar topology induced from  $\Sigma$ , then the topology on  $\Lambda(E)$  is a polar topology induced from  $\Sigma^0(E')$ .

Proof. The result is obtained by taking a supremum on both sides of the equality (\*) in the proof above, as  $b$  runs through all elements of  $B$ .

The identification of  $L^p(E)'$  ( $1 \leq p < \infty$ ) when  $E$  is a separable Banach space is well known [4]. The case of a general  $E$  has been studied in [11].

THEOREM 2.3 Let  $(\Lambda, \Sigma)$  be a dual pair of solid scalar v.f.s.'s with  $\Lambda^* = \Sigma$ . Let  $\Lambda$  be given a solid polar topology from  $\Sigma$ . Then

$$\Lambda' = \Sigma \Leftrightarrow \Lambda(E)' = \Sigma^0(E').$$

*Proof.* ( $\Rightarrow$ ) By [9, Theorem 3.5], if  $R_i \uparrow R$  and  $a \in \Lambda$  then  $a|_{R_i} \rightarrow a|_R$ . An easy calculation shows that if  $f \in \Lambda(E)$  then  $f|_{R_i} \rightarrow f|_R$ . Now let  $\phi \in \Lambda(E)'$ . Then there is a  $p \in P$  and a continuous seminorm  $q$  on  $\Lambda$  which is the gauge of a solid set such that

$$(*) \quad q(p \circ f) \leq 1 \Rightarrow |\phi(f)| \leq 1.$$

If  $K \subseteq Z$  is compact, the set  $\{a \in \Lambda : |a| \leq c(K)\}$  is  $\sigma(\Lambda, \Sigma)$  bounded and so bounded in  $\Lambda$ . Thus there is an  $M$  such that for any  $f \in \Lambda(E)$  with  $p \circ f \leq c(K)$  we have  $q(p \circ f) \leq M$ . By (\*),  $|\phi(f)| \leq M$  for any such  $f$ . By [8, Theorem 7.1], there is a  $g \in \Omega(E')$  such that  $\phi(f) = \int \langle f, g \rangle d\pi$ . Furthermore, an inspection of the proof of that theorem shows that  $g = bg_0$  where  $b \in \Omega$ ,  $b \geq 0$ , and  $p^0 \circ g_0 \leq 1$  and that for any relatively compact measurable set  $R$ ,

$$\int_R b d\pi = \text{Sup} \{ \phi(\sum_i c(R_i) x_i) \}$$

where the supremum is taken over all countable partitions  $\{R_i\}$  of  $R$  and  $x_i$  satisfies  $p(x_i) \leq 1$  and  $\phi(c(R_i) x_i) \geq 0$ . Now let  $a \in \Lambda$  with  $a \geq 0$  be fixed. Let  $a' = \sum a_i c(R_i)$  be a simple function satisfying  $0 \leq a' \leq a$ . Then

$$\begin{aligned} \int a' b d\pi &= \sum_i a_i \int_{R_i} b d\pi = \sum_i a_i \text{Sup} \{ \phi(\sum_j c(R_{ij}) x_{ij}) \} \\ &= \text{Sup} \{ \phi(\sum_{i,j} a_i c(R_{ij}) x_{ij}) \} \leq q(p \circ f) \end{aligned}$$

by (\*), using the fact that  $q$  is the gauge of a solid set. By [8, Lemma 5.2 (1)],  $\int a b d\pi < \infty$  and so  $b \in \Sigma = \Lambda^*$  and thus  $g \in \Sigma^0(E')$ .

We have shown that  $\Lambda(E)' \subseteq \Sigma^0(E')$ . The reverse inclusion is easy to show.

( $\Leftarrow$ ) If  $\Lambda' \neq \Sigma$  then by [9, Theorem 3.5] there is an  $a \in \Lambda$  and a sequence  $R_i \uparrow R$  such that  $a|_{R_i} \rightarrow a|_R$  is false. Then if  $x \neq 0$  in  $E$ ,  $a(z)x|_{R_i} \rightarrow a(z)x|_R$  is false in  $\Lambda(E)$ . Since by Proposition 2.2, the topology on  $\Lambda(E)$  is a polar topology induced from  $\Sigma^0(E')$ , [9, Proposition 3.3] implies that  $\Lambda(E)' \neq \Sigma^0(E')$ . ■

*Remark.* Using the techniques of [1, Proposition 10] we may prove that  $\Lambda(E)' = \Sigma^0(E')$  when  $\Lambda$  has the normal topology even if  $\Lambda^* \neq \Sigma$ .

**THEOREM 2.4.** *Let  $(\Lambda, \Sigma)$  be a dual pair of solid v.f.s.'s with  $\Lambda = \Sigma^*$ . Let  $\Lambda$  be given the topology of uniform convergence on a set of solid sets of  $\Sigma$  whose union is  $\Sigma$ . Then  $\Lambda(E)$  is complete.*

*Proof.* By Proposition 2.2, the topology on  $\Lambda(E)$  is a solid polar topology induced from  $\Sigma^0(E')$ . By Proposition 2.1,  $\Lambda(E) = \Sigma^0(E')^*$ . Since the topology on  $\Lambda$  is finer than that induced from  $\Omega$ , the topology on  $\Lambda(E)$  is finer than that induced from  $\Omega(E)$ . Thus [9, Theorem 2.2]  $\Lambda(E)$  is complete. ■

We are now able to extend the equality of Proposition 2.1 (2) to a larger class of spaces.

**PROPOSITION 2.5.** *Let  $E$  be a metrizable space. Let  $(\Lambda, \Sigma)$  be a dual pair of scalar v.f.s.'s with  $\Lambda^* = \Sigma$  and  $\Sigma^* = \Lambda$  and suppose that  $\Lambda$  is metrizable under the topology  $\tau(\Lambda, \Sigma)$ . Then  $\Lambda(E)^* = \Sigma^0(E')$ .*

*Proof.* Let  $\Lambda$  be given the topology  $\tau(\Lambda, \Sigma)$ . This is a solid topology [9, Corollary 3.6] and by Theorem 2.4,  $\Lambda(E)$  is complete. Since  $\Lambda$  and  $E$  are metrizable,  $\Lambda(E)$  is metrizable and so barrelled. By [9, Proposition 3.1],  $\Lambda(E)^* \subseteq \Lambda(E)'$ . But by Theorem 2.3,  $\Lambda(E)' = \Sigma^0(E') \subseteq \Lambda(E)^*$  and so  $\Lambda(E)^* = \Sigma^0(E')$ . ■

**LEMMA 2.6.** *Let  $(\Lambda, \Sigma)$  be a dual pair of solid scalar v.f.s.'s with  $\Lambda^* = \Sigma$ . Then, (1) a set  $A \subseteq \Lambda(E)$  is*

$$\sigma(\Lambda(E), \Sigma^0(E'))$$

*bounded iff for every  $p \in P$ ,  $p(A)$  is  $\sigma(\Lambda, \Sigma)$  bounded, and (2) if  $E$  is a separable normed space, a set  $B \subseteq \Sigma^0(E')$  is*

$$\beta(\Sigma^0(E'), \Lambda(E))$$

*bounded iff  $\|B\|$  is  $\beta(\Sigma, \Lambda)$  bounded.*

*Proof.* (1) Let  $\Lambda$  be given the normal topology. By [9, Lemma 1.3],  $\Lambda' = \Sigma$  and so by Theorem 2.3,  $\Lambda(E)' = \Sigma^0(E')$ . Now  $A$  is bounded in  $\Lambda(E)$  iff  $p(A)$  is bounded in  $\Lambda$  for every  $p \in P$ . But  $p(A)$  is bounded iff  $p(A)$  is  $\sigma(\Lambda, \Sigma)$  bounded and  $A$  is bounded iff it is  $\sigma(\Lambda(E), \Sigma^0(E'))$  bounded.

(2) Let  $C$  be any solid  $\sigma(\Lambda, \Sigma)$  bounded set. By [8, Lemma 5.2], for any  $a \in \Lambda$  and  $g \in \Sigma^0(E')$  we have

$$\int |a| \|g\| d\pi = \text{Sup} \{ \left| \int \langle f, g \rangle d\pi \right| : f \in \Lambda(E) \text{ and } \|f\| \leq a \}.$$

Thus

$$\begin{aligned} \text{Sup} \{ \int |a| \|g\| d\pi : a \in C \text{ and } g \in B \} \\ = \text{Sup} \{ \left| \int \langle f, g \rangle d\pi \right| : a \in \Lambda(E), \|f\| \in C, \text{ and } g \in B \}. \end{aligned}$$

Using [9, Proposition 1.4], the left hand side of this equality is finite for every  $C$  iff  $\|B\|$  is  $\beta(\Sigma, \Lambda)$  bounded, while the right side, using part (1), is finite for every  $C$  iff  $B$  is  $\beta(\Sigma^0(E'), \Lambda(E))$  bounded. ■

**COROLLARY 2.7.** *Let  $(\Lambda, \Sigma)$  be a dual pair of solid v.f.s.'s with  $\Lambda^* = \Sigma$  and  $\Sigma^* = \Lambda$ . Let  $E$  be a separable normed space. Then (1) a set  $B \subseteq \Sigma^0(E')$  is*

$$\sigma(\Sigma^0(E'), \Lambda(E))$$

*bounded iff  $\|B\|$  is  $\sigma(\Sigma, \Lambda)$  bounded, and (2) a set  $A \subseteq \Lambda(E)$  is*

$$\beta(\Lambda(E), \Sigma^0(E'))$$

*bounded iff  $\|A\|$  is  $\beta(\Lambda, \Sigma)$  bounded.*

*Proof.* (1) Let  $\Lambda$  be given the normal topology. By [9, Lemma 1.3],  $\Lambda' = \Sigma$  and so by Theorem 2.3,  $\Lambda(E)' = \Sigma^0(E')$ . By Theorem 2.4,  $\Lambda$  and  $\Lambda(E)$  are complete. Thus [10, p. 72] the strongly and weakly bounded sets in  $\Sigma^0(E')$  and  $\Sigma$  are the same and the results follows from Lemma 2.6 (2).

(2) The proof is similar to that of Lemma 2.6(2) and is omitted. ■

**PROPOSITION 2.8.** *Let  $E$  be a separable normed space. Let  $(\Lambda, \Sigma)$  be a dual pair of solid scalar v.f.s.'s with  $\Lambda^* = \Sigma$  and  $\Sigma^* = \Lambda$ . Let  $\Lambda$  be given a solid polar topology of the dual pair. Then  $\Lambda$  has the topology  $\beta(\Lambda, \Sigma)$  iff  $\Lambda(E)$  has the topology  $\beta(\Lambda(E), \Sigma^0(E'))$ .*

*Proof.* By [9, Proposition 2.4] the polars of the solid weakly bounded sets in  $\Sigma$  and  $\Sigma^0(E')$  form a base for the topologies

$$\beta(\Lambda, \Sigma) \quad \text{and} \quad \beta(\Lambda(E), \Sigma^0(E')).$$

The result follows from Proposition 2.2 and Corollary 2.7(1). ■

**COROLLARY 2.9.** *Let  $E$  be a separable normed space. Let  $\Lambda$  be a solid scalar v.f.s. with  $\Lambda = \Lambda^{**}$  and let  $\Lambda$  be given a solid topology of the dual pair  $(\Lambda, \Lambda^*)$ . Then  $\Lambda$  is barrelled iff  $\Lambda(E)$  is barrelled.*

*Proof.* By Theorem 2.3,  $\Lambda(E)$  has a topology of the dual pair

$$(\Lambda(E), \Lambda^{*0}(E')).$$

Since a space is barrelled iff it has the strong topology from its dual, the result follows from the proposition. ■

**PROPOSITION 2.10.** *Let  $E$  be a reflexive separable Banach space. Let  $(\Lambda, \Sigma)$  be a dual pair of solid scalar v.f.s.'s with  $\Lambda^* = \Sigma$  and  $\Sigma^* = \Lambda$ . Let  $\Lambda$  be given a topology of the dual pair. Then  $\Lambda$  is semireflexive iff  $\Lambda(E)$  is semi-reflexive.*

*Proof.* By Theorem 2.3,  $\Lambda(E)$  has a topology of the dual pair

$$(\Lambda(E), \Sigma^0(E')).$$

Let  $\Sigma$  be given the topology  $\beta(\Sigma, \Lambda)$ . Then (with  $E$  and  $E'$  switched—see [9, Proposition 1.1]) Proposition 2.8 shows that  $\Sigma^0(E')$  has the topology  $\beta(\Sigma^0(E'), \Lambda(E))$  and so by Theorem 2.3,  $\Sigma^0(E')' = \Lambda(E)$  iff  $\Sigma' = \Lambda$ , i.e.,  $\Lambda(E)$  is semi-reflexive iff  $\Lambda$  is semireflexive. ■

**PROPOSITION 2.11.** *Let  $E$  be a separable reflexive Banach space. Let  $\Lambda$  be a solid scalar v.f.s. with  $\Lambda = \Lambda^{**}$  and let  $\Lambda$  be given a topology of the dual pair  $(\Lambda, \Lambda^*)$ . Then  $\Lambda$  is reflexive iff  $\Lambda(E)$  is reflexive.*

*Proof.* Since a locally convex space is reflexive iff it is barrelled and semi-reflexive [7, p. 302], the proposition follows from Corollary 2.9 and Proposition 2.10. ■

### 3. The Spaces $L^p(E)$

We give a list of some properties of the spaces  $L^p(E)$ . Let  $q$  be the conjugate index to  $p$ .

- (a)  $L^p(E)$  is complete (Theorem 2.4).
- (b) The topology on  $L^p(E)$  is a polar topology induced from  $(L^q)^0(E')$  (Proposition 2.2).
- (c)  $(L^q)^0(E')^* = L^p(E)$  (Proposition 2.1).
- (d) If  $E$  is metrizable and  $1 \leq p < \infty$ ,  $L^p(E)^* = (L^q)^0(E')$  (Proposition 2.5).
- (e) If  $E$  is separable and normed,  $L^\infty(E)^* = (L^1)^0(E')$  (Proposition 2.1).
- (f) If  $1 \leq p < \infty$ ,  $L^p(E)' = (L^q)^0(E')$  (Theorem 2.3).
- (g) If  $L^\infty \neq L^1$ , then  $L^\infty(E)' \neq (L^1)^0(E')$  (Theorem 2.3).
- (h) If  $E$  is a reflexive separable Banach space,  $L^p(E)$  is weakly sequentially complete (with respect to the dual pair  $(L^p(E), (L^q)^0(E'))$ ) [9, Theorem 2.7].
- (i) If  $E$  is normed and  $1 \leq p < \infty$ , then  $(L^q)^0(E')$  is quasicomplete when given the topology of uniform convergence on the compact sets of  $L^p(E)$  [9, Proposition 3.7].

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