

# VECTOR VALUED KÖTHE FUNCTION SPACES II

BY

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This paper is a continuation of [9].

## 1. Dual pairs of v.f.s.'s

We say that  $(S(E), T(F))$  is a *dual pair of v.f.s.'s* if  $S(E)$  and  $T(F)$  are v.f.s.'s and if for every  $f \in S(E)$  and  $g \in T(F)$ ,  $\int \langle f, g \rangle d\pi$  exists. The integral  $\int \langle f, g \rangle d\pi$  will always be understood to be the bilinear form connecting the two spaces. Since  $T(F) \supseteq \Gamma(F)$ , [9, Proposition 6.2] shows that  $T(F)$  separates points of  $S(E)$ . Since  $S(E) \supseteq \Gamma(E)$  it is easy to show that  $S(E)$  separates points of  $T(F)$ .

If  $S(E)$  is a v.f.s., the *Köthe dual* of  $S(E)$ , denoted  $S(E)^*$ , is the set of all  $g \in \bar{\Omega}(E')$  such that  $\int \langle f, g \rangle d\pi$  exists for all  $f \in S(E)$ . Since clearly  $S(E)^* \supseteq \Gamma(E')$ ,  $S(E)^*$  is a v.f.s. If  $T(F)$  is a v.f.s.,  $T(F)^*$  is defined similarly and is a v.f.s.

There is a lack of symmetry in the concept of a dual pair of v.f.s.'s since  $\Omega(E)$  and  $\bar{\Omega}(F)$  are defined differently. There is an important case where we do have symmetry.

**PROPOSITION 1.1.** *Let  $E$  be a reflexive separable Banach space and give  $E'$  the norm topology. Then  $\Omega(E') = \bar{\Omega}(E')$ .*

*Proof.* Comparing the definitions of  $\Omega(E')$  and  $\bar{\Omega}(E')$ , this follows from five facts: (1) If  $p$  is the norm on  $E$ , then  $p^0$  is the norm on  $E'$ ; (2) if  $E$  is separable, so is  $E'$  [8, p. 259]; (3) for a separable Banach space scalar measurability is the same as measurability [1, p. 181]; (4) considering  $E'$  as a Banach space,  $\Omega(E') = \Omega_0(E')$  [9]; (5) if two measurable functions are scalarly a.e. equal, they are a.e. equal [6, p. 21]. ■

*Remark.* Suppose  $E$  is a reflexive Banach space. Then Proposition 1.1 is true in the following sense. Suppose  $g$  is a member of a class of functions in  $\bar{\Omega}(E')$ . Then by [2, p. 95, Ex. 25] there is a  $g' \equiv g$  such that  $g'$  is a member of a class of functions in  $\bar{\Omega}(E')$ . It is easy to show that the map  $g \rightarrow g'$  induces a well defined, one to one map of  $\bar{\Omega}(E')$  onto  $\bar{\Omega}(E')$ , giving the result. Using this fact we may drop the hypothesis that  $E$  be separable in Theorem 2.7.

I would like to thank the referee for pointing out the following remark.

*Remark.* If  $E$  is a Banach space with a separable dual then Proposition 1.1 is still true. For the unit ball in  $E$ , being  $\sigma(E'', E')$  dense in the  $\sigma(E'', E')$  compact, metrizable, and hence separable unit ball of  $E''$ , contains a countable

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set dense in the unit ball of  $E''$ . Thus by [1, p. 181] any  $g \in \bar{\Omega}(E')$  is norm measurable. By [9, Proposition 3.1] if  $g_1 \equiv g_2$  in  $\Omega(E')$  then  $g_1 = g_2$  a.e. The proof is now similar to the one given in the proposition.

We omit the easy proof of the following proposition.

**PROPOSITION 1.2.** *Let  $(S(E), T(F))$  be a dual pair of v.f.s.'s. Let  $f \in S(E)$  and  $B \subseteq T(F)$  be solid. Then*

$$\text{Sup} \left\{ \left| \int \langle f, g \rangle d\pi \right| : g \in B \right\} = \text{Sup} \left\{ \int |\langle f, g \rangle| d\pi : g \in B \right\}.$$

It follows that if in the above proposition  $S(E)$  is solid, then the polar of  $B$  is solid.

**LEMMA 1.3.** *Let  $(S(E), T(F))$  be a dual pair of v.f.s.'s. Then if  $T(F)$  is solid, the solid hull of a point  $g \in T(F)$  is weakly compact and if  $S(E)$  is solid, the solid hull of a point in  $S(E)$  is weakly compact.*

*Proof.* If  $T(F)$  is solid the map  $V: L^\infty \rightarrow T(F)$  given by  $Vb = bg$  has an adjoint  $V^*: S(E) \rightarrow L^1$  given by  $V^*f = \langle f, g \rangle$ . Thus  $V$  is weakly continuous and so the solid hull of  $g$ , which is the image by  $V$  of the weakly compact unit ball of  $L^\infty$ , is weakly compact. The proof of the other part is similar. ■

If  $(S(E), T(F))$  is a dual pair of v.f.s.'s and  $T(F)$  is solid, the *normal topology* on  $S(E)$  is the topology of uniform convergence on the solid hulls of points in  $T(F)$ . By the above lemma and the Mackey-Arens theorem, the dual of  $S(E)$  under the normal topology is  $T(F)$ .

**PROPOSITION 1.4.** *Let  $(S(E), T(F))$  be a dual pair of solid v.f.s.'s. Then the solid hull of a weakly bounded set in  $S(E)$  or  $T(F)$  is again weakly bounded*

*Proof.* By the comment above, the bounded sets in the weak and normal topologies on  $S(E)$  are the same. By the comment following Proposition 1.2, the normal topology has a base of solid sets. Thus the solid hull of a set bounded in the normal topology is bounded. The proof of the other part is similar. ■

**COROLLARY 1.5.** *Let  $(S(E), T(F))$  be a pair of solid v.f.s.'s. Then the solid hull of a strongly bounded set in  $S(E)$  or  $T(F)$  is again strongly bounded.*

*Proof.* By the proposition, the topology  $\beta(S(E), T(F))$  has a base of solid sets from which the first part follows. The proof of the second part is similar. ■

In several theorems that follow, the statement "let  $S(E)$  be a v.f.s. with a topology finer than that induced from  $\Omega(E)$ " is part of the hypotheses. The following proposition gives a sufficient condition that this hypothesis be satisfied.

**PROPOSITION 1.6.** For a compact set  $K$  and  $p \in P$ , set

$$Q(K, p) = \{g \in \Gamma(F) : p^0 \circ g \leq c(K)\}.$$

Let  $(S(E), T(F))$  be a dual pair of v.f.s.'s and let  $S(E)$  be given a polar topology stronger than the topology of uniform convergence on the sets  $Q(K, p)$ . Then this topology is stronger than that induced from  $\Omega(E)$ .

*Proof.* In the proof of [9 Proposition 6.2] it was shown that

$$Q(K, p)^0 = \left\{ f \in S(E) : \int_K p \circ f \, d\pi \leq 1 \right\}$$

from which the result follows. ■

### 2. Completeness

The following proposition, due to Garling [5, p. 998] is exactly what we need to establish the completeness of many v.f.s.'s.

**PROPOSITION 2.1.** Let  $G$  be a complete Hausdorff topological vector space with topology defined by a set of seminorms  $P$ . Let  $Q$  be a set of lower semicontinuous extended valued seminorms on  $G$ . Set

$$H = \{g \in G : q(g) < \infty \text{ for all } q \in Q\}.$$

Then  $H$  is complete under the topology given by  $P \cup Q$ .

**THEOREM 2.2.** Let  $(S(E), T(F))$  be a dual pair of solid v.f.s.'s with  $S(E) = T(F)^*$ . Let  $S(E)$  have the topology of uniform convergence on a set  $\mathfrak{B}$  of solid sets in  $T(F)$  whose union is  $T(F)$ . Suppose the topology on  $S(E)$  is finer than that induced from  $\Omega(E)$ . Then  $S(E)$  is complete.

*Proof.* By Proposition 1.2, a set of seminorms defining the topology on  $S(E)$  is given by  $\text{Sup}_{b \in B} \int |\langle f, g \rangle| \, d\pi$  with  $B \in \mathfrak{B}$ . This defines a set of (possibly extended valued) seminorms on  $\Omega(E)$ . We claim that these are lower semicontinuous. Since the supremum of a family of lower semicontinuous functions is lower semicontinuous, it is sufficient to show that  $f \rightarrow \int |\langle f, g \rangle| \, d\pi$  is lower semicontinuous. We know  $Z$  can be expressed as a countable union of compact sets so by the monotone convergence theorem it is sufficient to show that

$$f \rightarrow \int_K |\langle f, g \rangle| \, d\pi$$

is lower semicontinuous for each  $K$ . Similarly, if we set  $g|_K = bg_0$  where  $b \in \Omega$  and  $p^0 \circ g_0 \leq 1$ . then it is sufficient to show that  $f \rightarrow \int_{K'} |\langle f, g \rangle| \, d\pi$  is lower semicontinuous for any  $K'$  such that  $b|_{K'}$  is bounded. But this is clear and the claim is established. Since  $S(E) = T(F)^*$  and since the sets in  $\mathfrak{B}$  have union  $T(F)$  we have

$$S(E) = \left\{ f \in \Omega(E) : \text{Sup}_{g \in B} \int |\langle f, g \rangle| \, d\pi < \infty \text{ for all } B \in \mathfrak{B} \right\}.$$

Thus Proposition 2.1 applies and  $S(E)$  is complete. ■

**COROLLARY 2.3.** *Let  $(S(E), T(F))$  be a dual pair of solid v.f.s.'s with  $S(E) = T(F)^*$ . Then  $S(E)$  is complete under the strong topology.*

*Proof.* By Proposition 1.4, the strong topology is the topology of uniform convergence on all solid weakly bounded sets in  $T(F)$ . By Proposition 1.6, the topology on  $S(E)$  is finer than the topology induced from  $\Omega(E)$  since the sets  $Q(K, p)$  of Proposition 1.6 are easily seen to be weakly bounded. Thus the theorem applies and  $S(E)$  is complete. ■

**PROPOSITION 2.4.** *Let  $(S(E), T(E'))$  be a dual pair of solid v.f.s.'s with  $S(E) = T(E')^*$  and  $T(E') \supseteq \bar{\Phi}(E')$ . Then  $S(E)$  is complete under the Mackey topology.*

*Proof.* Give  $S(E)$  the topology  $\xi$  of uniform convergence on the set  $\mathfrak{B}$  of solid hulls of points in  $T(E')$  and the absolutely convex hulls of the solid hulls,  $Q'(K, p)$ , of the sets  $Q(K, p)$  of Proposition 1.6. The sets in  $\mathfrak{B}$  are solid and absolutely convex. By Proposition 1.6 the topology  $\xi$  is stronger than the topology induced from  $\Omega(E)$ . Thus Theorem 2.2 applies and  $S(E)$  is complete under  $\xi$ . By Lemma 1.3 the solid hulls of points in  $T(E')$  are weakly compact. The set  $Q'(K, p)$  is weakly relatively compact in  $\bar{\Phi}(E')$ , being contained in the polar of a neighborhood in  $\Omega(E)$ . Thus  $Q'(K, p)$  is also weakly relatively compact in  $T(E')$ . By the Mackey-Arens theorem,  $\xi$  is coarser than the Mackey topology and so  $S(E)$  is also complete under the Mackey topology. ■

**PROPOSITION 2.5.** *Let  $E$  be a separable Banach space.*

(1) *If  $Z$  is compact, then  $\Omega(E)$  is a Banach space and the norm topology on  $\bar{\Phi}(E')$ , the dual of  $\Omega(E)$ , is  $\| \| g \| \|_\infty$ .*

(2) *If  $\pi$  does not have compact support, there is a sequence  $K_1 \subseteq K_2 \cdots$  of compact sets such that  $\bar{\Phi}(E')$  is, under the strong topology, the strict inductive limit of the Banach spaces  $\bar{\Phi}_{K_n}(E')$ .*

*Proof.* (1)  $\Omega(E)$  is a Banach space with norm  $\int \| f \| d\pi$ . For any  $g \in \bar{\Phi}(E')$  the function  $g$  is  $\sigma(E', E)$  measurable and the function  $\| g \|$  is measurable [9, Proposition 3.1]. Thus by [9, Lemma 5.2], for any  $a \in L^1$ ,

$$\int | a \| g \| d\pi = \text{Sup} \left\{ \left| \int \langle f, g \rangle d\pi \right| : f \in \Omega(E), \| f \| \leq | a | \right\}.$$

Taking the supremum over  $a$  in the unit ball of  $L^1$  we have

$$\| \| g \| \|_\infty = \text{Sup} \left\{ \left| \int \langle f, g \rangle d\pi \right| : f \in \Omega(E), \int \| f \| d\pi \leq 1 \right\}.$$

(2) Let  $K_1 \subseteq K_2 \subseteq \cdots$  be a sequence of compact sets such that

$$K_n \subseteq K_{n+1}^0 \quad \text{and} \quad \bigcup_{n=1}^\infty K_n = Z.$$

We may assume that  $\pi(K_{n+1} - K_n) > 0$  and so  $\bar{\Phi}_{K_n}(E')$  is a proper subspace of  $\bar{\Phi}_{K_{n+1}}(E')$ . Now  $\bar{\Phi}(E') = \bigcup_{n=1}^\infty \bar{\Phi}_{K_n}(E')$  and so the inductive limit topology on  $\bar{\Phi}(E')$  by the spaces  $\bar{\Phi}_{K_n}(E')$  exists and is a strict inductive limit; it remains to show that this topology is the strong topology. Set  $R_n = K_n - K_{n-1}$ . Let  $\Omega_n(E)$  (respectively  $\bar{\Phi}_n(E')$ ) be the set of all restrictions of functions in  $\Omega(E)$  (respectively  $\bar{\Phi}(E')$ ) to  $R_n$  and give  $\Omega_n(E)$  norm  $\int_{R_n} \|f\| d\pi$ . Since a function  $f: Z \rightarrow E$  is measurable iff  $f|_{R_n}$  is measurable for all  $n$  [1, p. 175], we see that  $\Omega(E) = \prod_{n=1}^\infty \Omega_n(E)$  algebraically and topologically. Now by arguments similar to those of [9, Theorem 4.1] and (1) of the present theorem (or by [2, p. 47]),  $\Omega_n(E)' = \bar{\Phi}_n(E')$  and the norm on  $\bar{\Phi}_n(E')$  is  $\| \| g \| \|_\infty$ . Thus  $\Omega(E)' = \bar{\Phi}(E')$  is the direct sum of the  $\bar{\Phi}_n(E')$  [11, p. 93] and the direct sum topology on  $\bar{\Phi}(E')$  is the strong topology [11, p. 100]. Thus we must show that the direct sum topology on  $\bar{\Phi}(E')$  coincides with the strict inductive limit topology. Let  $(a_n)$  be an arbitrary decreasing sequence of positive numbers. Set

$$N_1(a_n) = \bigcup_{n=1}^\infty \{g \in \bar{\Phi}(E') : \text{Supp } g \subseteq K_n, \| \| g \| \|_\infty \leq a_n\}$$

and

$$N_2(a_n) = \bigcup_{n=1}^\infty \{g \in \bar{\Phi}(E') : g|_{R_n} \equiv g, \| \| g \| \|_\infty \leq a_n\}.$$

Let  $\langle N_1 \rangle(a_n)$  and  $\langle N_2 \rangle(a_n)$  be the absolutely convex envelopes of  $N_1(a_n)$  and  $N_2(a_n)$ . Then  $\langle N_1 \rangle(a_n)$  and  $\langle N_2 \rangle(a_n)$  are arbitrary elements of a base of neighborhoods for the strict inductive limit and direct sum topologies on  $\bar{\Phi}(E')$  respectively. Clearly

$$\langle N_2 \rangle(a_n) \subseteq \langle N_1 \rangle(a_n).$$

Now we claim that  $N_1(n^{-1}a_n) \subseteq \langle N_2 \rangle(a_n)$ , completing the proof. Let  $g \in N_1(n^{-1}a_n)$ . Then for some  $m$ ,  $\text{Supp } g \subseteq K_m$  and  $\| \| g \| \|_\infty \leq m^{-1}a_m$ . Then

$$g = \sum_{j=1}^m m^{-1} (mg|_{R_j}).$$

But

$$\| \| mg|_{R_j} \| \|_\infty \leq m(m^{-1}a_m) \leq a_j$$

since  $(a_n)$  is decreasing and so  $g \in \langle N_2 \rangle(a_n)$ . ■

LEMMA 2.6. *If  $E$  is a separable reflexive Banach space,  $\Omega(E)$  is weakly sequentially complete.*

*Proof.* Let  $(f_n)$  be a weakly Cauchy sequence. Then for  $g \in \bar{\Phi}(E')$  and every  $b \in L^\infty$  we have  $bg \in \bar{\Phi}(E')$  and so  $\int \langle f_n, g \rangle b d\pi$  is a Cauchy sequence of scalars. Thus  $\langle f_n, g \rangle$  is weakly Cauchy in  $L^1$  and so has a weak limit [4, p. 92]. Define  $\Psi_n : \bar{\Phi}(E') \rightarrow L^1$  by  $\Psi_n(g) = \langle f_n, g \rangle$  and  $\Psi : \bar{\Phi}(E') \rightarrow L^1$  by  $\Psi(g) = \lim \langle f_n, g \rangle$ . We claim that the  $\Psi_n$  are continuous when  $\bar{\Phi}(E')$  has the strong dual topology and  $L^1$  has the weak topology. Assume that  $\pi$  does not have compact support; if it has compact support the proof is simpler. Let  $(K_j)$  be a sequence of compact sets such that by Proposition 2.5,  $\bar{\Phi}(E')$  is

the strict inductive limit of the  $\bar{\Phi}_{K_j}(E')$ . Since each  $\bar{\Phi}_{K_j}(E')$  is a Banach space,  $\bar{\Phi}(E')$  is bornological and so sequential continuity of  $\Psi_n$  will ensure continuity. Let  $g_k \rightarrow 0$  in  $\bar{\Phi}(E')$ . Then  $(g_k) \subseteq \bar{\Phi}_{K_r}(E')$  for some  $r$  [11, p. 129] and  $g_k \rightarrow 0$  in  $\bar{\Phi}_{K_r}(E')$ . Thus for any  $b \in L^\infty$ ,

$$\begin{aligned} \lim_{k \rightarrow \infty} \left| \int \Psi_n(g_k) b \, d\pi \right| &= \lim_{k \rightarrow \infty} \left| \int \langle f_n, g_k \rangle b \, d\pi \right| \\ &\leq \|b\|_\infty \int_{K_r} \|f_n\| \, d\pi \lim_{k \rightarrow \infty} \|g_k\|_\infty \\ &= 0 \end{aligned}$$

establishing the continuity of  $\Psi_n$ . Now  $\bar{\Phi}(E')$ , being an inductive limit of barrelled spaces, is barrelled. Thus by the Banach-Steinhaus Theorem,  $\Psi$  is continuous.

We now apply [9, Theorem 7.1] (with  $E$  and  $E'$  switched—see Proposition 1.1) to  $\phi(g) = \int \Psi(g) \, d\pi$ . For condition (1) of that theorem let  $R_j \uparrow R$ . Then

$$\begin{aligned} \phi(g|_{R_j}) &= \int \Psi(g|_{R_j}) \, d\pi \\ &= \int \lim_{n \rightarrow \infty} \langle f_n, g|_{R_j} \rangle \, d\pi \\ &= \lim_{n \rightarrow \infty} \int \langle f_n, g|_{R_j} \rangle \, d\pi \\ &= \lim_{n \rightarrow \infty} \int_{R_j} \langle f_n, g \rangle \, d\pi \\ &= \int_{R_j} \lim_{n \rightarrow \infty} \langle f_n, g \rangle \, d\pi \\ &= \int_{R_j} \Psi(g) \, d\pi. \end{aligned}$$

Similarly,  $\phi(g|_R) = \int_R \Psi(g) \, d\pi$ . By the dominated convergence theorem,  $\phi(g|_{R_j}) \rightarrow \phi(g|_R)$ .

Now let a compact set  $K$  be given. Then for some  $m$ ,  $K \subseteq K_m$ . Since  $\Psi$  is continuous, it is bounded on the unit ball of  $\bar{\Phi}_{K_m}(E')$  from which condition (2) follows. By [9, Theorem 7.1], there is an  $f \in \Omega(E)$  such that  $\phi(g) = \int \langle f, g \rangle \, d\pi$ . Thus

$$\int \langle f, g \rangle \, d\pi = \int \lim_{n \rightarrow \infty} \langle f_n, g \rangle \, d\pi = \lim_{n \rightarrow \infty} \int \langle f_n, g \rangle \, d\pi.$$

Thus  $f_n \rightarrow f$  weakly in  $\Omega(E)$  and  $\Omega(E)$  is weakly sequentially complete. ■

**THEOREM 2.7.** *Let  $E$  be a reflexive separable Banach space. Let*

$(S(E), T(E'))$  be a dual pair of solid v.f.s.'s with  $S(E) = T(E')^*$  and  $T(E') \supseteq \bar{\Phi}(E')$ . Then  $S(E)$  is weakly sequentially complete.

*Proof.* Let  $(f_n)$  be a weakly Cauchy sequence. Then  $(f_n)$  is weakly Cauchy in  $\Omega(E)$  since  $T(E') \supseteq \bar{\Phi}(E') = \Omega(E)'$ . Thus by Lemma 2.6,  $f_n \rightarrow f$  weakly in  $\Omega(E)$ . Now let  $g \in T(E')$  be arbitrary. We shall show that

$$\int \langle f_n, g \rangle d\pi \rightarrow \int \langle f, g \rangle d\pi$$

thus completing the proof since it will then follow that  $f \in S(E) = T(E')^*$ . As in the proof of Lemma 2.6,  $\langle f_n, g \rangle \rightarrow G$ , say, weakly in  $L^1$ . Let  $K_1 \subseteq K_2 \subseteq \dots$  be a sequence of compact sets such that  $\bigcup K_j = Z$  and set

$$R_j = \{z : \|g(z)\| \leq j\} \cap K_j.$$

Then  $\bigcup R_j = Z$ . Now  $c(R_j)g \in \bar{\Phi}(E')$  and so we know from above that

$$\langle f_n, c(R_j)g \rangle \rightarrow \langle f, c(R_j)g \rangle$$

weakly in  $L^1$ . Thus  $\langle f, g \rangle|_{R_j} = G|_{R_j}$ . Therefore  $\langle f, g \rangle = G$  and so

$$\int \langle f_n, g \rangle d\pi \rightarrow \int G d\pi = \int \langle f, g \rangle d\pi. \quad \blacksquare$$

### 3. Duals of v.f.s.'s

In this section we study the relationship between the topological and Köthe duals of v.f.s.'s.

**PROPOSITION 3.1.** *Suppose  $S(E)$  is a solid locally convex v.f.s. which is barrelled. Suppose  $S(E)' \supseteq \bar{\Phi}(E')$ . Then  $S(E)' \supseteq S(E)^*$ .*

*Proof.* Let  $g \in S(E)^*$  be given. Given a compact set  $K$ , choose a sequence of relatively compact sets  $R_1 \subseteq R_2 \subseteq \dots$  such that  $\pi(K - R_j) \leq 1/j$  and  $g|_{R_j} \in \bar{\Phi}(E')$ . This can be done by the definitions of  $\bar{\Omega}(E')$  and  $\bar{\Phi}(E')$ . By hypothesis,  $g|_{R_j} \in S(E)'$ . Also

$$\int \langle f, g|_{R_j} \rangle d\pi \rightarrow \int \langle f, g|_K \rangle d\pi$$

by the dominated convergence theorem. Thus by the Banach-Steinhaus theorem,  $g|_K \in S(E)'$ . Similarly, by expressing  $Z$  as a countable union of compact sets we may prove  $g \in S(E)'$ , thus completing the proof.  $\blacksquare$

**PROPOSITION 3.2.** *Suppose  $C \subseteq L^1$  is weakly compact and suppose  $R_j \uparrow R$ . Then*

$$\int_{R_j} |a| d\pi \rightarrow \int_R |a| d\pi$$

*uniformly for  $a \in C$ .*

*Proof.* Let  $\varepsilon > 0$  be given. By [4, Théorème 4] choose a compact set  $K$

such that  $\int_{z-\kappa} |a| d\pi < \varepsilon/2$  for  $a \in C$ . By [4, Théorème 4] again, choose  $j_0$  so that if  $j \geq j_0$  we have

$$\int_{\kappa \cap R} |a| d\pi - \int_{\kappa \cap R_j} |a| d\pi < \varepsilon/2$$

for  $a \in C$ . Thus if  $a \in C$  and  $j \geq j_0$ ,

$$\int_R |a| d\pi - \int_{R_j} |a| d\pi < \varepsilon$$

and the result follows.

**PROPOSITION 3.3.** *Let  $(S(E), T(F))$  be a dual pair of solid v.f.s.'s.*

(1) *If  $\rho$  is the gauge of the polar of a solid weakly bounded set  $B$  in  $T(F)$  and  $R_j \uparrow R$  then  $\rho(f|_{R_j}) \rightarrow \rho(f|_R)$*

(2) *If  $S(E)$  is given the topology of uniform convergence on the solid hulls of the  $\sigma(T(F), S(E))$  compact sets and  $R_j \uparrow R$  then  $f|_{R_j} \rightarrow f|_R$ .*

*Proof.* (1) By Proposition 1.2 and the remark following that proposition,

$$\rho(f) = \text{Sup} \left\{ \int \left| \langle f, g \rangle \right| d\pi : g \in B \right\}.$$

Thus by the monotone convergence theorem

$$\rho(f|_{R_j}) = \text{Sup} \left\{ \int_{R_j} | \langle f, g \rangle | d\pi \right\} \rightarrow \text{Sup} \left\{ \int_R | \langle f, g \rangle | d\pi = \rho(f|_R) \right\}.$$

(2) Fix  $f \in S(E)$ . The map  $V : T(F) \rightarrow L^1$  given by  $Vg = \langle f, g \rangle$  has an adjoint  $V^* : L^\infty \rightarrow S(E)$  given by  $V^*b = bf$ . Thus  $V$  is weakly continuous. Let  $C$  be a  $\sigma(T(F), S(E))$  compact set. By the continuity of  $V$ ,  $\langle f, C \rangle$  is a  $\sigma(L^1, L^\infty)$  compact set. Thus, if  $C'$  is the solid hull of  $C$ , then  $\langle f, C' \rangle$ , which is the solid hull of  $\langle f, C \rangle$  is, by [4, Théorème 4],  $\sigma(L^1, L^\infty)$  compact. Applying Proposition 3.2 we obtain the result. ■

*Example.* A seminorm on  $S(E)$  which is not the gauge of a solid set may fail to have the property of Proposition 3.3(1) even though the seminorm is induced from  $T(F)$ . Let  $Z$  be the natural numbers with  $\pi$  the counting measure. Let  $E$  and  $E'$  be the real field. Let  $S(E) = l^\infty$  and  $T(E') = l^1$ . For every  $n$  let  $g_n \in l^1$  be defined by

$$\begin{aligned} g_n(i) &= 1 && \text{if } i = n \\ &= -1 && \text{if } i = n + 1 \\ &= 0 && \text{otherwise.} \end{aligned}$$

Let  $B = \{g_n\}$ . Then  $B$  is  $\sigma(l^1, l^\infty)$  bounded. Let  $\rho$  be the gauge of  $B^0$ . Let  $f \in l^\infty$  be defined by  $f(i) \equiv 1$ . Then  $\rho(f) = 0$  but  $\rho(f|_{[1,i]}) = 1$  for any  $i$ .

**PROPOSITION 3.4.** *Let  $E$  be a normed space and  $S(E)$  a solid locally convex*

*v.f.s.* For a compact set  $K$  define

$$A(K) = \{f \in S(E) : \|f\| \leq c(K)\}.$$

(1) Assume  $S(E) \supseteq \Phi(E)$  and the topology on  $S(E)$  is solid. Let  $T(E') \supseteq \bar{\Phi}(E')$  be a *v.f.s.* Then the topology on  $S(E)$  is a polar topology induced from  $T(E') \Leftrightarrow$  if  $\rho$  is the gauge of a solid neighborhood and  $R_j \uparrow R$  then  $\rho(f|_{R_j}) \rightarrow \rho(f|_R)$ ,  $A(K)$  is bounded for every  $K$ , and  $S(E)' \supseteq T(E')$ .

(2) We have  $S(E)' \subseteq S(E)^* \Leftrightarrow R_j \uparrow R$  implies  $f|_{R_j} \rightarrow f|_R$  and  $A(K)$  is bounded for every  $K$ .

*Proof.* (1)  $(\Rightarrow)$  We first show that  $A(K)$  is bounded in the topology  $\beta(S(E), T(E'))$  and thus in any weaker topology. It follows from Proposition 3.1 that  $\Omega^* = \Phi$ . It follows from [9, Lemma 5.3] that  $\Phi(E) = \bar{\Omega}(E')^*$ . (We shall prove a more general result in [10].) Give  $\Phi(E)$  the Mackey topology  $\tau(\Phi(E), \bar{\Omega}(E'))$ . By Proposition 2.4,  $\Phi(E)$  is complete and so in its dual,  $\bar{\Omega}(E')$ , the weakly and strongly bounded sets coincide. Now let  $B$  be any  $\sigma(T(E'), S(E))$  bound set. Then  $B$  is  $\sigma(\bar{\Omega}(E'), \Phi(E))$  bounded and so by the above  $\beta(\bar{\Omega}(E'), \Phi(E))$  bounded. Clearly  $A(K) \subseteq \Phi(E)$  and is  $\sigma(\Phi(E), \bar{\Omega}(E'))$  bounded. Thus

$$\text{Sup} \left\{ \left| \int \langle f, g \rangle d\pi \right| : f \in A(K), g \in B \right\} < \infty$$

and so  $A(K)$  is  $\beta(S(E), T(E'))$  bounded.

The condition on  $\rho$  follows from Proposition 3.3(1). The containment  $S(E)' \supseteq T(E')$  is clear.

$(\Leftarrow)$  Let  $B$  be a solid closed absolutely convex neighborhood and  $\rho$  its gauge. We shall show that  $B$  is closed in  $\Omega(E)$ . It will then follow that  $B$  is  $\sigma(\Omega(E), \bar{\Phi}(E'))$  and so  $\sigma(S(E), T(E'))$  closed. Thus  $B^{00} = B$  and the result will follow.

To this end, let  $(f_n) \subseteq B$  satisfy  $f_n \rightarrow f \in \Omega(E)$ . Then using [1, p. 131, Théorème 3, 2°] and a diagonal process there is a subsequence, again denoted  $(f_n)$  such that  $f_n \rightarrow f$  a.e. Let a compact set  $K$  and positive integer  $k$  be given. Then by Egoroff's theorem [1, p. 175] there is a compact set  $K_k \subseteq K$  with  $\pi(K - K_k) \leq 1/k$  and  $f_n \rightarrow f$  uniformly on  $K_k$ . We may assume  $K_k \subseteq K_{k+1}$ . Now

$$|\rho(f_n|_{K_k}) - \rho(f|_{K_k})| \leq \rho((f - f_n)|_{K_k}) \rightarrow 0$$

as  $n \rightarrow \infty$  since  $A(K)$  is bounded. Since  $\rho(f_n|_{K_k}) \leq 1$  it follows that  $\rho(f|_{K_k}) \leq 1$ . But by hypothesis  $\rho(f|_{K_k}) \rightarrow \rho(f|_K)$  and so  $\rho(f|_K) \leq 1$ . By expressing  $Z = \cup K_i$  where the  $K_i$  are compact and  $K_i \uparrow Z$  we find that  $\rho(f) \leq 1$ , i.e.,  $f \in B$ . Thus  $B$  is closed in  $\Omega(E)$ .

(2)  $(\Rightarrow)$  Proposition 3.3(2) and the Mackey-Arens theorem show that  $R_j \uparrow R$  implies  $f|_{R_j} \rightarrow f|_R$ . The set  $A(K)$  is clearly weakly bounded and so bounded.

$(\Leftarrow)$  This follows immediately from [9, Theorem 7.1]. ■

By combining the hypotheses of Propositions 3.1 and 3.4(2) one can obtain conditions under which  $S(E)' = S(E)^*$ . We turn however to the nicer situation in which the topology on  $S(E)$  is a polar topology induced from  $S(E)^*$ .

**THEOREM 3.5.** *Let  $E$  be a Banach space. Let  $(S(E), T(E'))$  be a dual pair of solid v.f.s.'s with  $S(E)^* = T(E')$  and  $S(E) \supseteq \Phi(E)$ . Let  $S(E)$  be provided with a polar topology. Then the following are equivalent:*

- (1)  $S(E)' = S(E)^*$ ,
- (2) If  $R_i \uparrow R$  then  $f|_{R_i} \rightarrow f|_R$ .

If, in addition,  $Z$  is second countable and  $E$  is separable, the above are equivalent to:

- (3)  $S(E)$  is separable.

*Proof.* (1)  $\Rightarrow$  (2). This follows immediately from Proposition 3.3(2) and the Mackey-Arens theorem.

(2)  $\Rightarrow$  (1). Clearly  $S(E)^* \subseteq S(E)'$ . In the proof of Proposition 3.4(1) it was shown that  $A(K)$  is bounded and so the result follows from Proposition 3.4(2).

Now we suppose that  $Z$  is second countable and  $E$  is separable.

(1)  $\Rightarrow$  (3). It is sufficient to show that  $S(E)$  is  $\sigma(S(E), T(E'))$  separable. Since  $\Gamma(E)$  separates points of  $T(E')$  it is weakly dense in  $S(E)$ . Let  $X$  be a countable dense set in  $E$  and let  $\mathcal{K}$  be the countable collection of compact sets constructed in [9, Corollary 6.3]. Set

$$A = \{f \in \Gamma(E) : f = \sum c(K_j)x_j \text{ with } K_j \in \mathcal{K} \text{ and } x_j \in X\}$$

and

$$A' = \{f \in \Gamma(E) : f = \sum c(R_j)x_j \text{ with } x_j \in X\}.$$

We shall show that  $A$  is weakly dense in  $A'$  and  $A'$  is weakly dense in  $\Gamma(E)$ , finishing this part of the proof since  $A$  is a countable set.

Let  $f = \sum c(R_j)x_j \in A'$  be given and choose, for each  $j$  and  $n$  a  $K_{nj} \in \mathcal{K}$  with  $\pi(R_j - K_{nj}) + \pi(K_{nj} - R_j) < n^{-1}$ . This can be done because of the way  $\mathcal{K}$  was constructed and because of the regularity of the measure. Set  $f_n = \sum_j c(K_{nj})x_j$ . Then  $f_n \in A$  and a simple calculation shows  $f_n \rightarrow f$  weakly in  $S(E)$ .

Now let  $f = \sum c(R_j)x_j \in \Gamma(E)$  be given and for each  $j$ , pick a net  $(x_{j,\alpha})$  such that  $x_{j,\alpha} \in X$  and  $x_{j,\alpha} \rightarrow x_j$ . We may index each of the nets  $(x_{j,\alpha})$  with the same index set, a base of neighborhoods in  $E$ . Set  $f_\alpha = \sum c(R_j)x_{j,\alpha} \in A'$ . The map  $V : S(E)$  given by  $Vx = c(R)x$  has, by [9, Proposition 6.1(2)], an adjoint  $V^* : T(E') \rightarrow E'$  given by  $V^*g = \int_R g \, d\pi$ . Thus  $V$  is weakly continuous and it follows that  $f_\alpha \rightarrow f$  weakly in  $S(E)$ .

(3)  $\Rightarrow$  (2) Let  $S(E)$  have the topology of uniform convergence on a set of absolutely convex sets  $\mathfrak{B}$ . We first show that if  $B \in \mathfrak{B}$  and if  $(g_j)$  is any sequence in  $B$ , then there is a subsequence  $(g_{j_k})$  such that for any  $f \in S(E)$ ,  $\lim \langle f, g_{j_k} \rangle$  exists in the topology  $\sigma(L^1, L^\infty)$ . Let  $\{f_n\}$  be dense in  $S(E)$ . Since

for a fixed  $n$  the sequence  $(\int \langle f_n, g_j \rangle d\pi)$  is bounded, we may, by a diagonal procedure, pick a subsequence, which we again denote  $(g_j)$ , such that  $\lim \int \langle f_n, g_j \rangle d\pi$  exists for each  $n$ . Let  $f \in S(E)$  and  $\varepsilon > 0$  be given. Since

$$\{g_p - g_q : p, q = 1, 2, \dots\} \subseteq 2B,$$

we can find a  $f_{n'}$  in  $\{f_n\}$  so that  $|\int \langle f - f_{n'}, g_p - g_q \rangle d\pi| < \varepsilon$  for all  $p$  and  $q$ . Since  $\lim_{j \rightarrow \infty} \int \langle f_{n'}, g_j \rangle d\pi$  exists, we may find an index  $N$  such that if  $p, q \geq N$ , then  $|\int \langle f_{n'}, g_p - g_q \rangle d\pi| < \varepsilon$ . Combining the two inequalities gives

$$\left| \int \langle f, g_p - g_q \rangle d\pi \right| < 2\varepsilon \quad \text{for } p, q \geq N,$$

i.e.,  $\lim_{j \rightarrow \infty} \int \langle f, g_j \rangle d\pi$  exists. Since  $S(E)$  is solid this implies that for any  $a \in L^\infty$ ,  $\lim_{j \rightarrow \infty} \int a \langle f, g_j \rangle d\pi$  exists, i.e.,  $\langle f, g_j \rangle$  is weakly Cauchy in  $L^1$ . Since  $L^1$  is weakly sequentially complete [4, p. 92],  $\lim_{j \rightarrow \infty} \langle f, g_j \rangle$  exists in the topology  $\sigma(L^1, L^\infty)$ .

With this established we prove (2). Suppose that  $R_n \uparrow R$  but there is an  $f \in S(E)$  such that  $f|_{R_n} \rightarrow f|_R$  is false. Set  $S_n = R - R_n$ . Then there is a  $B \in \mathfrak{B}$ , an  $\varepsilon > 0$ , and a sequence  $(g_n) \subseteq B$  such that for all  $n$ ,  $|\int_{S_n} \langle f, g_n \rangle d\pi| \geq \varepsilon$ . By the above we may assume by taking a subsequence, that  $\lim \int \langle f, g_n \rangle$  exists and so the set  $\{\langle f, g_n \rangle\}$  is weakly relatively compact in  $L^1$ . But then by Proposition 3.2,  $\lim \int_{S_n} |\langle f, g_n \rangle| d\pi = 0$ , which is a contradiction. ■

We are now able to show that a conjecture of C ac [3, p. 609] is true.

**COROLLARY 3.6.** *Let  $E$  be a Banach space. Let  $(S(E), T(E'))$  be a dual pair of solid v.f.s.'s with  $T(E') = S(E)^*$  and  $S(E) \supseteq \bar{\Phi}(E)$ . Then the absolutely convex hull of the solid hull of a  $\sigma(T(E'), S(E))$  compact set is again weakly relatively compact.*

*Proof.* Let  $S(E)$  be given the topology  $\xi$  of uniform convergence on the solid hulls of the weakly compact sets in  $T(E')$ . By Proposition 3.3 and Theorem 3.5,  $(S(E), \xi)' = T(E')$ . Now let  $B$  be the solid hull of any weakly compact set of  $T(E')$ . Then  $B^0$  is a  $\xi$ -neighborhood and so  $B^{00}$ , which contains the absolutely convex hull of  $B$ , is weakly compact. ■

**PROPOSITION 3.7.** *Let  $E$  be a Banach space. Let  $(S(E), T(E'))$  be a dual pair of solid v.f.s.'s with  $T(E') = S(E)^*$  and  $S(E) \supseteq \Phi(E)$ . Let  $S(E)$  be given a topology of the dual pair and  $T(E')$  the topology of uniform convergence on the precompact sets in  $S(E)$ . Then  $T(E')$  is quasicomplete.*

*Proof.* Let  $(g_\alpha)$  be a Cauchy net in  $T(E')$  contained in a bounded set  $B$ . Let  $G$  be the strong dual of  $S(E)$  and let  $G$  be given the topology of uniform convergence on the precompact sets in  $S(E)$ . Let  $\bar{B}$  be the closure of  $B$  in  $G$ . Then  $\bar{B}$  is compact in the topology  $\sigma(G, S(E))$ , being contained in the polar of a strong neighborhood. Thus  $\bar{B}$  is compact in the topology of precompact convergence [11, p. 106]. Thus  $g_\alpha \rightarrow \phi \in G$ . We shall show, using [9, Theorem 7.1], that  $\phi \in T(E')$ , completing the proof.

For condition (1) of that theorem let  $f \in S(E)$  and  $\varepsilon > 0$  be given and suppose that  $R_j \uparrow R$ . Set  $S_j = R - R_j$ . Then by Theorem 3.5, we have  $f|_{S_j} \rightarrow 0$  and so  $\{f|_{S_j}\}$  is precompact. Thus there is an  $\alpha_0$  such that we have

$$|\langle f|_{S_j}, \phi - g_{\alpha_0} \rangle| \leq \varepsilon/2 \quad \text{for all } j.$$

Now choose  $j_0$  so that  $|\langle f|_{S_j}, g_{\alpha_0} \rangle| \leq \varepsilon/2$  for all  $j \geq j_0$ . Then if  $j \geq j_0$ ,

$$|\phi(f|_{S_j})| \leq |\langle f|_{S_j}, \phi - g_{\alpha_0} \rangle| + |\langle f|_{S_j}, g_{\alpha_0} \rangle| \leq \varepsilon.$$

Thus  $\phi(f|_{S_j}) \rightarrow 0$ , i.e.,  $\phi(f|_{R_j}) \rightarrow \phi(f|_R)$ . For condition (2) note that by the proof of Proposition 3.4 (1) we have  $A(K)$  bounded in the strong topology and so  $\phi(A(K))$  is bounded. ■

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